SEC Form 4

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FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL

OMB Number:	3235-0287							
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Check this box if no longer subject	3
to Section 16. Form 4 or Form 5	
obligations may continue. See	
Instruction 1(b).	

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person* MARCUS JOEL S			2. Issuer Name and Ticker or Trading Symbol Applied Therapeutics Inc. [APLT]	(Check	5. Relationship of Reporting Person(s) to Issuer (Check all applicable)				
				X	Director	10% Owner			
(Last) C/O APPLIEI	(First) O THERAPEU	(Middle) JTICS, INC.	3. Date of Earliest Transaction (Month/Day/Year) 05/13/2020	_	Officer (give title below)	Other (specify below)			
545 FIFTH AVENUE, SUITE 1400		TE 1400							
			4. If Amendment, Date of Original Filed (Month/Day/Year)	6. Indiv	6. Individual or Joint/Group Filing (Check Appl Line)				
(Street)				X	Form filed by One Re	porting Person			
NEW YORK	NY	10017			Form filed by More than One Rep Person				
(City)	(State)	(Zip)							

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)		4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 and 5)			5. Amount of Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership	
			Code	v	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)		(Instr. 4)	
Common Stock	05/13/2020		S		10,764	D	\$43.6 ⁽¹⁾	394,596	D		
Common Stock	05/13/2020		S		5,905	D	\$44.46(2)	388,691	D		
Common Stock	05/13/2020		S		500	D	\$45.29 ⁽³⁾	388,191	D		
Common Stock	05/13/2020		S		2,012	D	\$46.61(4)	386,179	D		
Common Stock	05/13/2020		S		1,600	D	\$47.88(5)	384,579	D		
Common Stock	05/13/2020		S		2,202	D	\$48.77(6)	382,377	D		
Common Stock	05/13/2020		S		2,017	D	\$49.66(7)	380,360	D		
Common Stock	05/14/2020		S		9,177	D	\$43.18(8)	371,183	D		
Common Stock	05/15/2020		S		5,723	D	\$43.2 ⁽⁹⁾	365,460	D		
Common Stock	05/15/2020		S		100	D	\$43.69	365,360	D		

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code (8)		of Deriv Secu Acqu (A) o Dispe of (D	r osed) r. 3, 4	Expiration Date (Month/Day/Year) d		Amount of			9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				

Explanation of Responses:

1. Weighted average price. These shares were sold in multiple transactions at prices ranging from \$43.1000 to \$44.1000 inclusive. The reporting person undertakes to provide the issuer, any security holder of the issuer or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the range set forth above. 2. Weighted average price. These shares were sold in multiple transactions at prices ranging from \$44.1300 to \$44.7500 inclusive. The reporting person undertakes to provide the issuer, any security holder of the issuer or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the range set forth above. 3. Weighted average price. These shares were sold in multiple transactions at prices ranging from \$45.0000 to \$45.4800 inclusive. The reporting person undertakes to provide the issuer, any security holder of the issuer or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the range set forth above. 4. Weighted average price. These shares were sold in multiple transactions at prices ranging from \$46.0100 to \$47.0000 inclusive. The reporting person undertakes to provide the issuer, any security holder of the issuer or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the range set forth above. 5. Weighted average price. These shares were sold in multiple transactions at prices ranging from \$47.2500 to \$48.1700 inclusive. The reporting person undertakes to provide the issuer, any security holder of the issuer or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the range set forth above. 6. Weighted average price. These shares were sold in multiple transactions at prices ranging from \$48.3500 to \$49.3100 inclusive. The reporting person undertakes to provide the issuer, any security holder of the issuer or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the range set forth above. 7. Weighted average price. These shares were sold in multiple transactions at prices ranging from \$49.3950 to \$50.1000 inclusive. The reporting person undertakes to provide the issuer, any security holder of the issuer or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the range set forth above. 8. Weighted average price. These shares were sold in multiple transactions at prices ranging from \$43.0000 to \$43.7100 inclusive. The reporting person undertakes to provide the issuer, any security holder of the issuer or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the range set forth above. 9. Weighted average price. These shares were sold in multiple transactions at prices ranging from \$42.6750 to \$43.6100 inclusive. The reporting person undertakes to provide the issuer, any security holder of the issuer or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the range set forth above.

Remarks:

<u>/s/ Shoshana Shendelman,</u> <u>Attorney-in-Fact</u>



** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

 * If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

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